



# CARLSON

## CAPITAL MANAGEMENT

### **Carlson Capital Management, Inc. Privacy Policy**

#### **PURPOSE**

The following information is provided, as required by Regulation S-P adopted by the U.S. Securities and Exchange Commission, to help you understand our privacy policy and how we handle and maintain confidential personal information as we fulfill our obligations to protect your privacy. "Personal information" refers to nonpublic financial information that identifies you or your accounts. This policy applies to consumers who are current or former clients of Carlson Capital Management, Inc. and its affiliates, including, but not limited to Carlson Tax & Trust Administration and Carlson Trust Company.

#### **INFORMATION ABOUT YOU THAT WE COLLECT**

We collect non-public personal information about you from the following sources: information we receive from you in preparing financial plans or completing custodial account applications or other forms and information about your financial transactions with us, our affiliates, or others.

#### **OUR USE OF INFORMATION ABOUT YOU**

As permitted by law, we may disclose some or all of the information we collect to independent parties that service your account in order to provide services that you request. These service providers may include custodians or other broker-dealers and banks; and others who provide services to us, such as parties who provide technical support for our systems and our legal and accounting professionals, as well as government agencies and other parties as permitted by law.

#### **WE RESPECT YOUR PRIVACY**

It is our policy to treat customer information in a secure and confidential manner. We do not sell customer information. We do not provide customer information for marketing purposes to any outside parties. We may, however, be required to disclose client financial information to outside service providers in compliance with applicable laws. Even if you decide to close your account(s) or become an inactive client, we will adhere to the privacy policy described above.

#### **HOW WE PROTECT YOUR CONFIDENTIAL INFORMATION**

We restrict access to non-public personal information about you to employees who need that information to provide integrated wealth management services to you, or to employees who assist those who provide those services to you. We maintain physical, electronic and procedural safeguards to protect your non-public personal information. We ensure that the privacy of your nonpublic personal information is maintained at all times, including during the disposal of information that we are no longer required to maintain. For example, whenever possible, we shred paper documents and records prior to disposal, and erase and/or obliterate any data contained on electronic media in such a manner that the information can no longer be read or reconstructed.

If you have any questions about this policy, please contact Justin Stets, Managing Director at 507.645.8887.